# Oxfam America (VIE 034/07): System of Rice Intensification -Advancing Small Farmers in Mekong Region

# **Background**

Under a 3-year grant, SRI promotion is being implemented through a partnership among three organizations based in Hanoi, Vietnam: Oxfam Quebec; the Center for Sustainable Rural Development (SRD), a local NGO; and the Plant Protection Department (PPD) under the Ministry of Agriculture and Rural Development (MARD) of Government of Vietnam.

This report covers the first 10 months of Year 1 of implementation, starting in September 2007. The program covers six provinces in the northern Vietnam, namely, Ha Tay, Yen Bai, Phu Tho, Thai Nguyen, Nghe An, and Ha Tinh. Ha Tay province had a head-start in working with SRI due to previous funding provided from Oxfam America to introduce SRI in Dai Nghia commune starting in 2006. The total number of communes involved was 13, as shown in Table 1.

Table 1. Project Area, First Season Project Implementation, Winter-Spring, 2008

| #  | Commune   | Season in implementing SRI | District | Province    |
|----|-----------|----------------------------|----------|-------------|
| 1  | Dai Phac  | 1st                        | Van Yen  | Yen Bai     |
| 2  | An Thinh  | 1st                        | Van Yen  | ζζ          |
| 3  | Dai Nghia | 3rd                        | My Duc   | Ha Tay      |
| 4  | Te Tieu   | 1st                        | My Duc   | "           |
| 5  | Hop Tien  | 1st                        | My Duc   | "           |
| 6  | Dong Tien | 1st                        | Pho Yen  | Thai Nguyen |
| 7  | Hong Tien | 1st                        | Pho Yen  | "           |
| 8  | Cao Xa    | 1st                        | Lam Thao | Phu Tho     |
| 9  | Kinh Ke   | 1st                        | Lam Thao | "           |
| 10 | Hung Tien | 1st                        | Nam Dan  | Nghe An     |
| 11 | Xuan Hoa  | 1st                        | Nam Dan  | "           |
| 12 | Kim Loc   | 1st                        | Can Loc  | Ha Tinh     |
| 13 | Quang Loc | 1st                        | Can Loc  | "           |

# **Summary of the SRI Program Progress (Technical Component)**

#### 1. General overview

In most locations of northern Vietnam where irrigation systems are available, farmers can make two rice crops per year. Winter-spring season 2008 was the first rice season for this new program to promote SRI in northern Vietnam. Among the 13 communes participating, however, one commune (Dai Nghia, My Duc district, Ha Tay province) was in its third season of implementation, having had SRI practices previously in winter-spring and summer seasons 2007. The other 12 communes were undertaking SRI for the first time this year. It is noted that the majority of farmers were participating in agricultural cooperatives and were using their own paddy land as collateral.

### 2. Farmers' participation and areas of SRI application (see Table 2)

In September 2007, a SRI curriculum was prepared and subsequently used as key training material in the training-of-trainers (TOT) for all six provinces. The program placed farmers at the center of the initiative in participatory way. We encouraged enhancing farmers' confidence and their capacity for adopting and adapting new techniques in rice cultivation. SRI was promoted as the most advanced and relevant one. We did not follow rigidly the 12 steps of SRI that have been worked out by Cambodian colleagues. Instead, we promoted an "open attitude," adapting these to the local conditions and the ongoing campaign of MARD for sustainable agriculture known as 'the 3 gains and 3 reductions' campaign, namely reduction in seeds, fertilizer, and pesticides and herbicides, with resulting gains in yield, rice quality, and net profit.

Farmer Field Schools (FFS) were organized as the first step of SRI activities at the commune level. In total, 13 FFSs were carried out for **390 core farmers**. Specific field studies were undertaken by the farmers themselves under the technical supervision of PPD local staff. The topics were identified and selected by farmers based on their specific local conditions and needs, however, focused around SRI and IPM (integrated pest management). Majority of farmer field studies focused on soil nutrition management, water management, balanced application of fertilizer (N, P, K), spacing, rice seed selection, soil preparation, and seedling preparation. Farmers learned to prepare simple documents and to demonstrate differences in comparison tables written on big flip charts. In many communes, farmers also tried to make their own simple weeding tools.

Core farmers were chosen by the agricultural cooperatives themselves, based on the condition that at least one-third of the core famers must own their own paddy fields. It was agreed that the demonstration fields must be in accessible areas and must represent the main soil types for the commune. Field days/workshops were used as the main follow-up training method for core farmers, and also for farmer-to-farmer training. Core farmers provide training for another 1,274 farmers in their neighborhoods and encouraged them to apply SRI for a total of 302.3 hectares, mostly as trials/demonstration fields in this first season.

In Ha Tay province, as an outcome of Oxfam America's previous support, the operations were quite different. Based on previous experience and outcomes, the provincial authorities have endorsed and encouraged SRI using their own resources to scale the methods up to **33,000 ha** with **95,000 farmers** involved. Since the average landholding in Ha Tay is around 0.4 hectare per household (4-5 people), this means that in Ha Tay, SRI methods are being applied to almost 100% of the land area for these SRI farmers. Other provinces should learn from Ha Tay's extraordinary effort in scaling SRI up so rapidly. We plan to conduct an internal assessment as a case study.

The total area in which SRI was applied in the 6 provinces in this winter-spring season, with all sources of support including Oxfam program support and local funds, was **33,306.4 Ha** with **96,544** farmer participation as seen in Table 2.

#### 3. Specific gains/benefits in SRI promotion program (see Tables 3 & 4)

In comparison to the conventional practice, farmers using the new methods managed to reduce their seed use by 75% and their pesticide use by 50-100% in their SRI fields. Consequently, their rice yields went up between 13% and 29%, and their net profits were increased in a range from 8% to 32%. Specific details are given in the tables below. [These tables still need some completion.]

Table 2. Area and number of farmers utilizing SRI of first season of project implementation, winter-spring, 2008

|             | Area of field<br>study in<br>project sites<br>(ha) | Area of farmers<br>utilizing SRI in<br>project sites<br>(ha) | Area of farmers<br>utilizing SRI in<br>non-project sites<br>(ha) | # of farmers<br>attending SRI<br>FFS/field study<br>in project sites | # of farmers<br>utilizing SRI<br>in project<br>sites | # of farmers<br>utilizing SRI<br>in non-<br>project sites<br>(ha) | SRI area from<br>all sources in<br>provinces,<br>including<br>project sites<br>(2+3+4) | # of farmers implementing SRI from all sources in province, including project sites (5+6+7) |
|-------------|--|--|--|--|--|---|--|---|
| 1           | 2  | 3  | 4  | 5  | 6  | 7   | 8  | 9   |
| Yen Bai     |  |  |  |  |  |   |  |   |
| An Thinh    | 0.2  | 0.4  |  | 30   | 45   |   |  |   |
| Dai Phac    | 0.2  | 0.4  |  | 30   | 45   |   |  |   |
| Total       | 0.3  | 0.7  |  | 60   | 90   |   | 1.0  | 150   |
| На Тау      |  |  |  |  |  |   |  |   |
| Hop Tien    | 0.4  | 120.0  |  | 30   |  |   |  |   |
| Te Tieu     | 0.3  | 0.0  |  | 30   |  |   |  |   |
| Dai Nghia   | 1.0  | 180.0  |  | 30   |  |   |  |   |
| Total       | 1.7  | 300.0  | 33,000.0   | 90   | 990  | 95,000  | 33,301.7   | 96,080  |
| Thai Nguyen |  |  |  |  |  |   |  |   |
| Hong Tien   | 0.1  | 0.2  |  | 30   | 30   |   |  |   |
| Dong Tien   | 0.1  | 0.3  |  | 30   | 30   |   |  |   |
| Total       | 0.2  | 0.5  |  | 60   | 60   |   | 0.7  | 120   |
| Phu Tho     |  |  |  |  |  |   |  |   |
| Cao Xa      | 0.2  | 0.2  |  | 30   | 5  |   |  |   |
| Kinh Ke     | 0.3  | 0.3  |  | 30   | 9  |   |  |   |
| Total       | 0.5  | 0.5  | 0.7  | 60   | 14   |   | 1.8  | 74  |
| Nghe An     |  |  |  |  |  |   |  |   |
| Hung Tien   | 0.2  | 0.2  |  | 30   | 30   |   |  |   |
| Xuan Hoa    | 0.2  | 0.2  |  | 30   | 30   |   |  |   |
| Total       | 0.3  | 0.3  |  | 60   | 60   |   | 0.6  | 120   |
| Ha Tinh     |  |  |  |  |  |   |  |   |
| Can Loc     | 0.2  | 0.2  |  | 30   | 30   |   |  |   |
| Kim Loc     | 0.2  | 0.2  |  | 30   | 30   |   |  |   |
| Average     | 0.3  | 0.3  |  | 60   | 60   |   | 0.6  |   |
|             |  | 302.3  | 33,000.7   | 390  | 1,274  |   | 33,306.4   | 96,544  |

 $Table\ 3.\ Production\ inputs\ and\ outputs\ with\ SRI\ utilization,\ first\ season\ of\ project\ implementation,\ winter-spring,\ 2008$ 

| Name of provinces/<br>communes                   | Spacing (number of seedlings/m²) | Yield<br>(theoretical<br>or harvested) | #<br>grains/panicle | Grain<br>filling<br>(%) | Nitrogen<br>(urea) | Pesticide<br>use<br>(# of<br>sprayings) | Net benefit<br>(VND/ha) |
|--|----------------------------------|--|---------------------|-------------------------|--------------------|---|-------------------------|
| Yen Bai  |                                  | ·                                      |                     |                         |                    |   |                         |
| An Thinh   | 32                               | 6,160.0                                | 148                 | 89                      | 167                | 3                                       | 29,677,000              |
| Dai Phac   | 32                               | 6,390.0                                | 100                 | 90                      | 167                | 3                                       | 32,760,000              |
| Average  | 32                               | 6,275.0                                | 124                 | 89                      | 167                | 3                                       | 31,218,500              |
| Conventional                                     | 126                              | 5,420.0                                | 103                 | 87                      | 167                | 5                                       | 24,536,000              |
| Difference compared to conventional practice (%) | -75                              | +13.6                                  | +16.9               | +2.5                    | 0.0                | -66.7                                   | +21                     |
| На Тау   |                                  |  |                     |                         |                    |   |                         |
| Hop Tien   | 25                               | 6,814.0                                | 190.1               | 93.4                    | 111                | 0                                       | 22,155,000              |
| Te Tieu  | 25                               | 6,596.0                                | 214.3               | 81.3                    | 111                | 0                                       | 33,407,000              |
| Dai Nghia  | 25                               | 6,562.0                                | 177.9               | 97.6                    | 111                | 0                                       | 31,867,000              |
| Average  | 25                               | 6,657.3                                | 194                 | 91                      | 111                | 0                                       | 29,143,000              |
| Conventional                                     | 100                              | 5,230.0                                | 139.6               | 65.4                    | 111                | 0                                       | 19,838,333              |
| Difference compared to conventional practice (%) | -75                              | +21.4                                  | +28                 | +28                     | 0.0                |   | +32                     |
| Thai Nguyen                                      |                                  |  |                     |                         |                    |   |                         |
| Hong Tien  | 39                               | 8,556.0                                | 164                 | 91                      | 139                | 1                                       | 14,270,000              |
| Dong Tien  | 42                               | 5,489.0                                | 106                 | 91                      | 166                | 1                                       | 12,395,000              |
| Average  | 40                               | 7,022.5                                | 135                 | 91                      | 152.5              | 1                                       | 13,332,500              |
| Conventional                                     | 210                              | 4,970.0                                | 82                  | 85                      | 166                | 4                                       | 10,833,000              |
| Difference compared to conventional practice (%) | -81                              | +29                                    | +39                 | +7                      | -9                 | -75                                     | +19                     |
| Phu Tho  |                                  |  |                     |                         |                    |   |                         |
| Cao Xa   | 25                               | 6,580.0                                | 158                 | 90                      | 167                | 1                                       | 16,603,000              |
| Kinh Ke  |                                  |  |                     |                         |                    |   |                         |
| Average  |                                  |  |                     |                         |                    |   |                         |
| Conventional                                     | 150                              | 6,421.2                                | 139                 | 39                      | 250                | 2                                       | 15,200,000              |
| Difference compared to conventional practice (%) | -83                              | +2                                     | +12                 | +57                     | -33                | -50                                     | +8                      |

| Nghe An  |     |         |       |      |       |       |            |
|--|-----|---------|-------|------|-------|-------|------------|
| Hung Tien  | 25  | 6,930.0 | 210   | 90   | 140   | 1     | 31,380,000 |
| Xuan Hoa   | 25  | 7,860.0 | 186   | 81   | 168   | 1     | 36,680,000 |
| Average  | 25  | 7,395.0 | 198.0 | 85.4 | 154.0 | 1     | 34,030,000 |
| Conventional   | 115 | 5,990.0 | 128   | 88   | 220   | 4     | 23,000,000 |
| Difference compared to conventional practice (%)     | -78 | +23.5   | +65   | +3   | -30   | -75   | +32        |
| Ha Tinh  |     |         |       |      |       |       |            |
| Can Loc  | 30  | 6,409.2 | 152   | 78.1 | 140   | 1     | 14,840,000 |
| Kim Loc  | 30  | 7,159.6 | 144.5 | 79.7 | 150   | 1     | 18,200,000 |
| Average  | 30  | 6,784.4 | 148.3 | 78.9 | 145   | 1     | 16,520,000 |
| Conventional   | 126 | 6,017.2 | 144.2 | 79.6 | 150   | 3     | 12,080,000 |
| Difference compared to conventional practice (%)     | -76 | +11     | +3    | -1   | -3    | -67   | +27        |
| Unweighted average difference for all provinces (I%) | -78 | +16.7   | +27.3 | +16  | -12.5 | -55.6 | +23.3      |

Note: 1 USD = 16,500 VND

Table 4. Reported changes in insect/pest resistance

| Provinces   | Changes observed in insect/pest resistance  |  |  |  |
|-------------|---|--|--|--|
| Yen Bai     | Pests less in SRI compared to conventional: |  |  |  |
|             | - Plant hopper reduced by 60%               |  |  |  |
|             | - Bacterial disease reduced by 68%          |  |  |  |
|             | - Sheath blight reduced by 32%              |  |  |  |
|             | - Pesticide applications reduced by 60%     |  |  |  |
| Ha Tay      | Pests less in SRI compared to conventional: |  |  |  |
|             | - Leaf folder reduced by 70%                |  |  |  |
|             | - Blast disease reduced by 56%              |  |  |  |
|             | - Sheath blight reduced by 42%              |  |  |  |
|             | - Pesticide applications reduced by 60%     |  |  |  |
| Thai Nguyen | Pests less in SRI compared to conventional: |  |  |  |
|             | - Leaf folder reduced by 48 %               |  |  |  |
|             | - Sheath blight reduced by 90 %             |  |  |  |
|             | - Pesticide applications reduced by 67%     |  |  |  |
| Phu Tho     | Pests less in SRI compared to conventional: |  |  |  |
|             | - Leaf folder reduced by 50%                |  |  |  |
|             | - Sheath blight reduced by 48%              |  |  |  |
|             | - Pesticide applications reduced by 83%     |  |  |  |
| Nghe An     | - Sheath blight reduced by 50%              |  |  |  |
|             |   |  |  |  |
| Ha Tinh     | Pests less in SRI compared to conventional: |  |  |  |
|             | - Plant hopper reduced by 90%               |  |  |  |
|             | - Sheath blight reduced by 50%              |  |  |  |
|             | - Pesticide applications reduced by 67%     |  |  |  |

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